



PATENT Attorney Docket No. A-67229-12 Dorsey File No. 463077-00244

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

*In re* application of:

DAHIYAT et al.

Serial No.: 10/666,311

Filing Date: September 18, 2003

For: Protein Design Automation for

Protein Libraries

Examiner: Not Yet Assigned

Art Unit: 1639

## **CERTIFICATE OF MAILING**

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## INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313-1450

Sir:

In satisfaction of the duty of disclosure under 37 C.F.R. § 1.56, and in accordance with the provisions of 37 C.F.R. §§ 1.97 and 1.98, Applicants wish to draw the attention of the U.S. Patent and Trademark Office to the references cited on the accompanying form PTO/SB/08A-B, Substitute for form PTO 1449. This application is a divisional of U.S. Application Serial No. 09/782,004, filed February 12, 2001 (pending). The references cited on the accompanying form PTO/SB/08A-B, Substitute for form PTO-1449, not marked with an asterisk (\*), were previously disclosed in the above-mentioned application and in accordance with 37 C.F.R. § 1.98(d), no copies of these references are enclosed.

Serial No.:

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10/666,311

Filing Date:

September 18, 2003

Further, in accordance with 1273 Off. Gaz. Pat. Off. 1, 8/5/2003, no copies of U.S. patents and U.S. published applications are enclosed. Copies of all other references are enclosed.

None of the foregoing references is believed to disclose the invention as claimed.

Nothing herein shall constitute an admission concerning the contents of any of the cited references, nor shall the inclusion of a reference herein be considered an admission that the reference constitutes prior art against the invention claimed in the above-identified application. Submission of the present document shall not be construed as an admission that a search has been made or that better art does not exist.

As far as is known to the undersigned, this Information Disclosure Statement is being filed within three months of the filing date of a national application, within three months of the date of entry of the national state in an international application, or before the mailing date of a first Office Action on the merits as set forth in 37 C.F.R. § 1.97(b), and therefore no fee is required.

While no further fee is believed to be due, if this belief is in error, the Commissioner is authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 50-2319 (Our Order No. 463077-00244 (A-67229-12/RFT/RMS/RMK)).

SF-1148074\_1

Serial No.:

10/666,311

Filing Date:

September 18, 2003

Please direct any calls in connection with this application to the undersigned at (415) 781-1989.

Respectfully submitted,

DORSEY & WHITNEY LLP

Dated: 7/27/04

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Attachments:

Form PTO/SB/08A-B, Substitute for form PTO 1449

3 references

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Substitute for form 1449A/PTO (Modified)

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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| Application Number                                       | 10/666,311         |  |  |  |  |
| Filing Date  | September 18, 2003 |  |  |  |  |
| First Named Inventor                                     | DAHIYAT, Bassil I. |  |  |  |  |
| Art Unit 1639  |                    |  |  |  |  |
| Examiner Name  | Not Yet Assigned   |  |  |  |  |
| Attorney Docket Number                                   | A-67229-12         |  |  |  |  |

|                       | U.S. PATENT DOCUMENTS |  |                                |  |   |  |  |
|-----------------------|-----------------------|--|--------------------------------|--|---|--|--|
| Examiner<br>Initials* | Cite No.1             | U.S. Patent Document<br>Number-Kind Code <sup>2</sup> (if known) | Publication Date<br>MM-DD-YYYY | Name of Patentee or Applicant of Cited<br>Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |  |  |
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| Examiner  | Date<br>Considered |  |
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| Signature | 00/15/00/00        |  |

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<sup>&</sup>lt;sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at <a href="www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English Language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the complete application form to the USPTO. Time will vary depending on the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Complete if Known Substitute for form 1449A/PTO (Modified) 10/666,311 **Application Number** INFORMATION DISCLOSURE September 18, 2003 Filing Date STATEMENT BY APPLICANT DAHIYAT, Bassil I. First Named Inventor 1639 **Art Unit** (use as many sheets as necessary) Not Yet Assigned Examiner Name A-67229-12 5 Attorney Docket Number 2 Sheet of

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| Examiner<br>Initials*  | Cite<br>No.  | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T <sup>6</sup> |  |  |  |  |
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| Substitute for form 1449A/PTO (Modified) |                   |               | ····     |                        |                    |  |
|  | (**               | ,             |          | Application Number     | 10/666,311         |  |
| IN                                       | <b>IFORMATIO</b>  | N DISC        | CLOSURE  | Filing Date            | September 18, 2003 |  |
| STATEMENT BY APPLICANT                   |                   |               |          | First Named Inventor   | DAHIYAT, Bassil I. |  |
|  |                   |               |          | Art Unit               | 1639               |  |
|  | (use as many s    | sheets as neo | cessary) | Examiner Name          | Not Yet Assigned   |  |
| Sheet                                    | 3                 | of            | 5        | Attorney Docket Number | A-67229-12         |  |

|  | NON PATENT LITERATURE DOCUMENTS |   |                |  |  |  |  |  |
|--|---------------------------------|---|----------------|--|--|--|--|--|
| Examiner Initials*   | Cite<br>No.                     | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T <sub>Q</sub> |  |  |  |  |  |
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| Examiner  | Date       |
|-----------|------------|
| Signature | Considered |

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<sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at <a href="www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English Language Translation is attached.

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|                                   | Substitute for   | form 1449/ | A/PTO   | Complete if Known      |                    |  |  |
|-----------------------------------|------------------|------------|---------|------------------------|--------------------|--|--|
|                                   | (Mo              | odified)   |         | Application Number     | 10/666,311         |  |  |
| IN                                | <b>FORMATIOI</b> | N DISC     | CLOSURE | Filing Date            | September 18, 2003 |  |  |
| S <sup>*</sup>                    | TATEMENT         | BY AF      | PLICANT | First Named Inventor   | DAHIYAT, Bassil I. |  |  |
|                                   |                  |            |         | Art Unit               | 1639               |  |  |
| (use as many sheets as necessary) |                  |            |         | Examiner Name          | Not Yet Assigned   |  |  |
| Sheet                             | 4                | of         | 5       | Attorney Docket Number | A-67229-12         |  |  |

|   |     | NON PATENT LITERATURE DOCUMENTS   |   |  |
|---|-----|---|---|--|
| Examiner Initials* Cite Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog date, page(s), volume-issue number(s), publisher, city and/or country where published. |     |   |   |  |
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